



A34493 - 071308.0216

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Martin Schroder et al.
Serial No. : 09/955,317 Group Art Unit: 2863
Filed : September 17, 2001 Examiner: Bui, Bryan
For : SPEED-DEPENDENT SETPOINT CORRECTION IN
ELECTRICALLY REGULATED SLAVE DRIVES

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

December 17, 2003
Date of Deposit

Bradley B. Geist
Attorney Name

Signature

27,551
PTO Reg. No.

December 17, 2003
Date of Signature

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 C.F.R. §§ 1.97 and 1.98, submitted herewith are a PTO Form 1449 identifying documents disclosing prior art that may be material to the patentability of the invention claimed in the above-identified application and copies of the documents identified in the Form 1449. The documents were mentioned in the procedure of

NY02:469161.1

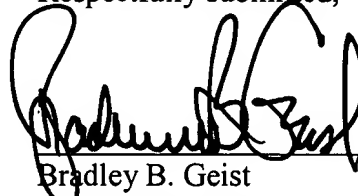
PATENT

the German Patent Office. English-language translations of the articles are not readily available. However, abstracts are attached to each reference.

Identification of the listed references in the PTO 1449 is not to be construed as an admission of the Applicant(s) or the attorneys of the Applicant(s) that such references are available as "prior art" against the subject application.

This statement is being filed under §1.97(c), with a fee of \$180, prior to either a final action or a notice of allowance. The Commissioner is hereby authorized to charge the payment of any additional fees required in association with this communication to Deposit Account No. 02-4377. A check for the fee and a duplicate copy of this statement is enclosed.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Bradley B. Geist', is written over a horizontal line.

Bradley B. Geist
Patent Office Reg. No. 27,551

Attorneys for Applicants
(212) 408-2562

Enclosure

41
BAKER BOTTS LLP

DEC 22 2003

Please type a plus sign (+) inside this box → **+**

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TC 1700

**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number 09/955,317

Filing Date September 17, 2001

First Named Inventor Martin Schroder et al.

Group Art Unit 2863

Examiner Name Bui, Bryan

Attorney Docket Number A34493

ENCLOSURES (check all that apply)

- ☒ Fee Transmittal Form
☒ Fee Attached
☐ Amendment / Reply
☐ After Final
☐ Affidavits/declaration(s)
☐ Extension of Time Request
☐ Express Abandonment Request
☒ Information Disclosure Statement
☐ Certified Copy of Priority Document(s)
☐ Response to Missing Parts/
Incomplete Application
☐ Response to Missing Parts
under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers
(for an Application)
☐ Drawing(s)
☐ Licensing-related Papers
☐ Petition
☐ Petition to Convert to a
Provisional Application
☐ Power of Attorney, Revocation
Change of Correspondence
Address
☐ Terminal Disclaimer
☐ Request for Refund
☐ CD, Number of CD(s) _____

- ☐ After Allowance Communication
to Group
☐ Appeal Communication to Board
of Appeals and Interferences
☐ Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)
☐ Proprietary Information
☐ Status Letter
☒ Other Enclosure(s) (please
identify below):
PTO 1449 w/copy of references

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual name

BakerBotts LLP
30 Rockefeller Plaza
New York, NY 10112

Signature

Att Name: Bradley B. Geist
PTO Reg: 27,551

Date

December 17, 2003

CERTIFICATE OF MAILING

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Typed or printed name

Bradley B. Geist

Signature

Date December 17, 2003

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TC 1700

FEE TRANSMITTAL for FY 2003

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$180

Complete if Known

Application Number 09/955,317
 Filing Date September 17, 2001
 First Named Inventor Martin Schroder et al.
 Examiner Name Bui, Bryan
 Art Unit 2863
 Attorney Docket No. A34493

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit
Account
Number
Deposit
Account
Name

02-4377

Baker Botts LLP

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee required under 37CFR 1.16 and 1.17☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$0

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims		Extra Claims		Fee from below		Fee Paid
Independent		- 20 =	0	X		0
Multiple Dependent		- 3 =	0	X		0

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$0

*or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	180
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$180

SUBMITTED BY

Name (Print/Type)

Bradley B. Galt

Registration No.
(Attorney/Agent)

27,551

(Complete if applicable)

Telephone 212-408-2500

Signature

Date

December 17, 2003

BAKER BOTTS LLP

Attorney Docket Number: A34493

Title: SPEED-DEPENDENT SETPOINT CORRECTION IN ELECTRICALLY REGULATED SLAVE
DRIVES



Use Space Below for Additional Information:

Serial No.
09/955,317

Applicant
Schroder et al.

(Use several sheets if necessary)

Filing Date
9-17-2001

Group
2121

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENT

		Document No.							Date	Country	Class	SubClass	Translator Yes No	
	1	9	8	5	2	4	3	6	4-20-1999	Germany (w/abstract)				
	1	9	7	2	7	8	2	4	11-19-1998	Germany (w/abstract)				
	4	3	2	2	7	4	4		3-11-1997	Germany (w/abstract)				
	4	0	2	1	3	3	0		10-24-1996	Germany (w/abstract)				
	4	4	2	0	5	9	8		12-14-1995	Germany (w/abstract)				
	3	6	3	8	6	9	8		5-19-1988	Germany (w/abstract)				
	3	3	0	4	6	4	4		8-16-1984	Germany (w/abstract)				

OTHER DOCUMENTS (including Author, Title Date, Pertinent Pages, Etc.)

"Digitale Antriebe und SERCOS Interface" by Werner Phillip., In: Antriebstechnik 31 (1992), No. 12, pages 30-38;

NY02:469168.1

Examiner

Date Considered

* Examiner: Initial citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449 U.S. Department of Commerce
(REV. 2-82) Patent and Trademark Office

Atty. Docket No.
A34493

Serial No.
09/955,317

**INFORMATION DISCLOSURE STATEMENT
BY APPLICANT**

(Use several sheets if necessary)

Applicant
Schroder et al.

Filing Date
9-17-2001

Group
2121

"Praxis der Fedorientiert Geregelt..." by Nguyen Phung Quang,, pages 126-127

NY02:469168.1

Examiner

Date Considered

* Examiner: Initial citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.